pplication No. 09/905,713 ttorney Docket No. 0267-1904 11-21-05

IFW

Patent

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Augusta D. Rogers

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Frantz Germain et al.

Serial No.:

09/905,713

Date Filed:

March 19, 2001

For:

LOCKOUT MECHANISM FOR RESIDUAL CURRENT

DEVICES

Group Art Unit:

2832

Confirmation No.:

8450

Examiner:

Lincoln D. Donovan

Mail Stop Issue Fee Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to Applicant's duty of disclosure, the information listed in the attached form PTO/SB/O8A is brought to the attention of the Examiner. Copies of the foreign references are provided.

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#1001877 v2

It is respectfully requested that the information cited in the attached Form PTO-/SB/08A be considered by the Examiner in connection with the above-identified patent application, and that such art be made of record in said application.

REFERENCES

Applicants would also like to bring the Examiner's attention to the following commonly owned co-pending U.S. Letters Patents and Pending Patent Applications:

Patent No.: 6,437,700 issued : 08/20/2002

Serial No.: 09/812,875 filed : 03/20/2001

Patent No.: 6,771,152 issued : 08/03/2004

Serial No.: 10/909,610 filed : 08/02/2004

Patent No.: 6,671,145 issued : 12/30/2003

Serial No.: 10/747,484 filed : 12/29/2003

Patent No.: 6,693,779 issued : 02/17/2004

Patent No.: 6,288,882 issued : 09/11/2001

Patent No.: 6,717,782 issued : 06/04/2004

Patent No.: 10/818,626 issued : 04/05/2004

Patent No.: 6,282,070 issued : 08/28/2001

Patent No.: 6,646,838 issued : 11/11/2003

Serial No.: 10/702,571 filed : 11/11/2003

Patent No.: 6,381,112 issued : 04/30/2002

Serial No.: 09/812,601 filed : 03/20/2001 (abandoned)

Serial No.: 10/613,667 filed : 07/03/2003

Application No. 09/905,713 Attorney Docket No. 0267-1904

Patent No.: 6,040,967 issued : 03/21/2001

Serial No.: 10/724,973 filed : 12/01/2003

Serial No.: 10/702,571 filed : 11/06/2003

Patent No.: 6,657,834 issued : 12/02/2003

Serial No.: 09/175,228 filed : 10/20/1998 (abandoned)

Patent No.: 6,246,558 issued : 06/12/2001

Patent No.: 6,437,953 issued : 08/20/2002

Serial No.: 10/223,284 filed : 08/19/2002

Serial No.: 10/166,337 filed : 03/21/2001

Serial No.: 10/613,667 filed : 07/03/2003

Serial No.: 09/812,288 filed : 03/20/2001

Serial No.: 09/531,990 filed 03/20/2000 (abandoned)

Serial No.: 10/166,338 filed 03/21/2001

Serial No.: 09/829,339 filed 04/09/2001

Serial No.: PCT/US99/19319 publication date: 03-02-2000

Serial No.: PCT/US00/22955 publication date: 03-01-2001

Serial No.: PCT/US01/32562 publication date: 04-25-2002

Serial No.: 09/813,683 filed : 03/21/2001

Serial No.: 10/779,211 filed : 02/13/2003

The citation of the listed items is not a representation that they constitute a complete or exhaustive listing of the relevant art or that these items are prior art. The items listed are submitted in good faith, but are not intended to substitute for the Examiner's search.

The filing of this Information Disclosure Statement is not an admission that the information cited herein is, or is considered to be, material to patentability or available as prior art.

The Commissioner is hereby authorized to charge the \$180.00 fee for submission of an Information Disclosure Statement required by 37 C.F.R § 1.17(p) to Deposit Account No. 12-1185 of Leviton Manufacturing Co., Inc. Fee Transmittal (PTO/SB/17) in duplicate is attached.

The Commissioner is also hereby authorized to charge any extra fees which may be required and/or credit any overpayment to Deposit Account No. 12-1185 of Leviton Manufacturing Co., Inc. A duplicate copy of this sheet is enclosed for that purpose.

Early and favorable consideration of the case is respectfully requested.

Dated: January 18, 2005

Respectfully submitted,

Paul J. Sutton, Esq. Registration No. 24,201 Attorney for Applicants Greenberg Traurig, LLP 200 Park Avenue New York, New York 10166

Tel. (212) 801-2108

PTO/SB/17 (12-C4v2)

Approved for use through 07/31/2006. OMB 0651-0032

Complete if Known

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Effect EAST DURSUANT to the Consoli	, ₀₁₀ ,	Complete if Known						
				Application Num	ber	o9/905,713		
		MITTA	┺▐	Filing Date		March 19,	2001	
Fo	or FY 2	2005		First Named Inve	entor	Frantz Ge	Germain et al.	
Applicant claims sma	Il entity state	s See 37 CED 1 27		Examiner Name Lincoln I		Lincoln D.	Dovonan	
	T T		[Art Unit		2832		
TOTAL AMOUNT OF PAY	YMENT (\$	180.00		Attorney Docket	No.	0267-1904	4	
METHOD OF PAYMEN	IT (check a	il that apply)						
Check Credit	Check Credit Card Money Order None Other (please identify):							
Deposit Account	Deposit Accou	nt Number: <u>12-1185</u>		Deposit Ac	count Na	ıme: <u>Levito</u>	on Manufac	turing Co.
For the above-iden	tified deposit	account, the Director	r is here	by authorized to:	(check	all that app	ly)	
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FEE CALCULATION								
1. BASIC FILING, SEA	RCH, AND FILING			CH FEES	EVAR	MINIATION	EEEO	
A		Small Entity		Small Entity		INATION Small E	Entity	F B. 11 (0)
Application Type	Fee (\$)		Fee (\$)	Fee (\$)	Fee :			Fees Paid (\$)
Utility	300	150	500	250	200		_	
Design	200	100	100	50	130			
Plant	200	100	300	150	160			
Reissue	300	150	500	250	600			
Provisional	200	100	0	0	0	0		
2. EXCESS CLAIM FE Fee Description	ES					<u>Fe</u>		II Entity ee (\$)
Each claim over 20 (` —	•					50	25
Each independent cl		(including Reissue	s)				200	100
Multiple dependent			_				360	180
Total Claims - 20 or HP =	Extra Clai		<u>Fee l</u>	Paid (\$)			<u>Itiple Depend</u>	
HP = highest number of total		or, if greater than 20.				<u>F</u>	ee (\$)	Fee Paid (\$)
Indep. Claims	Extra Clai	_	Fee F	Paid (\$)				
- 3 or HP = HP = highest number of inde	enendent claim	X=	= n 3.					
3. APPLICATION SIZE If the specification and	FEE			er (excluding el	lectron	ically filed	i sequence o	or computer
listings under 37 C	FR 1.52(e)), the application s	ize fee	due is \$250 (\$	125 for			
sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Total Sheets								
4. OTHER FEE(S) Non-English Specific								
Other (e.g., late filing surcharge): Information Disclosure Statement \$180.00								
SUBMITTED BY								
Signature			R	egistration No. 24	4, 201		Telephone 21:	2.801.2108
lame (Print/Type) Paul J. S	utton			momey/Adent) —			Date 01/18/20	
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PTO/SB/08A (03-03)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO

Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Col	mplete if Known	
Application Number	09/905,713	
Filing Date	March 19, 2001	
First Named Inventor	Frantz Germain	_
Art Unit	2832	
Examiner Name	Lincoln D. Donovan	
Attorney Docket Number	0267-1904	

	U. S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.¹	Document Number Number-Kind Code ^{2 (3 known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	1	^{US-} 4,034,266	07-1977	Virani et al.			
	2	^{US-} 4,802,052	01-1989	Brant et al.			
	3	^{US-} 6,693,779	02-2004	DiSalvo, Nicholas L.			
	4	^{US-} 5,223,810	06-1993	Van Haaren			
	5	^{US-} 5,224,006	06-1993	MacKenzie et al.			
	6	^{US-} 5,594,398	01-1994	Marcou et al.			
	7	^{US-} 5,805,397	09-1998	MacKenzie			
	8	^{US-} 5,875,087	02-1999	Spencer et al.			
-	9	^{US-} 6,282,070	08-2001	Ziegler et al.			
	10	^{US-} 6,437,953	08-2002	DiSalvo et al.			
	11	^{US-} 6,437,700	08-2002	Herzfeld et al.			
	12	^{US-} 6,671,145	12-2003	Germain et al.			
	13	^{US-} 6,288,882	09-2001	DiSalvo et al.			
	14	^{US-} 6,646,838	11-2003	Ziegler et al.			
	15	US- 6,381,112	04-2000	DiSalvo			
	16	^{US-} 6,040,967	03-2000	DiSalvo			
	17	^{US-} 6,657,834	12-2003	DiSalvo			
	18	^{US-} 5,625,285	04-1997	Virgilo et al.			
	19	^{US-} 5,637,000	06-1997	Osterbrock et al.			

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Cite No.1		Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages				
	Country Code ³ "Number ⁴ "Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T ⁶			
1	PCT/US99/19319	03-2000	Leviton Manufa.					
 2	PCT/US00/22955	03-2001	Leviton Manufa.					
 3	PCT/US01/32562	04-2002	Leviton Manufa.					
 4	WO 96/01484 A	01-1996	Power Breaker P					
 5	EP 0 526 071 A	02-1993	PDL Holdings Lt					
 6	Australian No 759587	07-2003	Leviton Manufa.					

Examiner	Date	
Signature	Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

0267-1904

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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
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Application Number 09/905,713

Filing Date March 19, 2001

First Named Inventor Frantz Germain

Art Unit 2832

Examiner Name Lincoln D. Donovan

Sheet 2

Attorney Docket Number

				DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (J known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
	20	US- 5,517,165	05-1996	Cook	
	21	^{US-} 5,661,623	08-1997	McDonald et al.	
'	22	^{US-} 5,956,218	09-1999	Berthold	
	23	^{US-} 4,521,824	06-1985	Morris et al.	
	24	^{US-} 5,229,730	07-1993	Legatti et al.	
	25	^{US-} 5,477,412	12-1995	Neiger et al.	
	26	^{US-} 5,956,218	09-1999	McDonald et al.	
	27	^{US-} 5,875,087	02-1999	Spenser et al.	
	28	^{US-} 5,148,344	09-1992	Astley et al.	
_	29	^{US-} 3,702,418	11-1972	Robert Obenhaus	
	30	^{US-} 4,574,260	03-1986	Terry E. Franks	
	31_	^{US-} 5,805,397	09-1998	Raymond MacKenzie	
	32	^{US-} 3,309,571	03-1967	Clyde Gilker	
	33	^{US-} 3,538,477	11-1970	Walters et al.	
	34	US- 3,872,354	03-1975	Nestor et al.	
	35	^{US-} 3,949,336	04-1976	Robert E Dietz	
	36	^{US-} 4,034,360	07-1977	Ronald G. Munroe	
	37	^{US-} 4,223,365	12-1977	Richard J, Moran	
	38	^{US-} 4,316,230	02-1982	James E. Hansen	

		FORE	IGN PATENT DOCU	JMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	
		Country Code ³ "Number ⁴ "Kind Code ⁵ (if known)	MM-DD-YYYY			T ⁶
	7	UK 830,018	03-1960	Michael Gerrad		
	8	UK 2,207,823	02-1989	lan Pickering		
	9	GB 2,290,181 A	12-1995	John A. Pollman		
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						<u></u>

Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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Complete if Known Substitute for form 1449/PTO **Application Number** 09/905,713 Filing Date March 19, 2001 INFORMATION DISCLOSURE First Named Inventor Frantz Germain STATEMENT BY APPLICANT Art Unit 2832 (Use as many sheets as necessary) **Examiner Name** Lincoln D. Donovan 0267-1904 Attorney Docket Number

Sheet 3

			U. S. PATENT	F DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (J known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	39	^{US-} 5,418,678	05-1995	McDonald	
	40	^{US-} 6,717,782	04-2004	DiSalvo et al.	
	41	^{US-} 6,246,558	06-2001	DiSalvo et al.	
	42	^{US-} 6,040,967	03-2000	DiSalvo, Nicholas L.	
	43	^{US-} 6,324,043	11-2001	Turner David Curtis	
-	44	^{US-} 5,665,648	08-1997	James L. Rosen	
	45	^{US-} 6,590,753	07-2003	David A. Finlay	
	46	^{US-} 6,252,407	06-2001	Bernard Gershen	
	47	^{US-} 6,226,161	05-2001	Bernard Gershen	
	48	^{US-} 6,052,265	04-2000	Zaretsky et al.	
	49	^{US-} 5,706,155	01-1998	Benjamin Neiger et al.	
	50	^{US-} 5,729,417	03-1998	Benjamin Neiger et al.	
	51	^{US-} 5,541,800	07-1996	John Misencik	
	52	^{US-} 6,252,407	06-2001	Bernard Gershen	
	53	^{US-} 6,771,152	08-2004	Frantz Germain et al	
	54	^{US-} 4,063,299	12-1977	Ronald G. Munroe	
	55	^{US-} 5,617,284	04-1997	Paradise	
	56	^{US-} 6,309,248	10-2001	King Gerald N.	
	57	^{US-} D462,660	09-2002	Huang et al.	

	FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages				
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)		Or Relevant Figures Appear	T ⁶				

Examiner	Date	
Signature	Considered	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 4

Complete if Known			
Application Number	09/905,713		
Filing Date	March 19, 2001		
First Named Inventor	Frantz Germain		
Art Unit	2832		
Examiner Name	Lincoln D. Donovan		
Attorney Docket Number	0267-1904		

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Publication Date MM-DD-YYYY Number-Kind Code ^{2 (I kross.7)}		Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	58	^{US-} 4,442,470	04-1984	John J. Misencik	
	59	^{US-} 5,555,150	09-1996	Newman	
	60	^{US-} 4,567,456	01-1986	Raymond H. Legatti	
	61	^{US-} 4,578,732	03-1986	Charles W. Draper	
	62	^{US-} 4,631,624	12-1986	Dorak et al.	
	63	^{US-} 4,979,070	12-1990	Lawrence E. Bodkin	
	64	^{US-} 5,815,363	09-1998	Wai Hang Chu	
	65	^{US-} 5,825,602	10-1998	Tosaka et al.	
	66	^{US-} 5,844,765	12-1998	Kato et al.	
	67	^{US-} 5,943,198	08-1999	Hirsh et al.	
	68	^{US-} 6,580,344	06-2003	Li Chengli	
	69	^{US-} 6,621,388	09-2003	Macbeth Bruce F.	
	70	^{US-} US-2003-0151478	08-2003	Rodasaljevic et al.	
	71	^{US-} 5,202,662	04-1993	Bienwald et al.	
	72	us- 4,086,549	04-1978	Slater et al.	
	73	^{US-} 4,237,435	12-2004	David a. Cooper et al.	
	74	^{US-} 4,595,894	06-1986	Doyle et al.	
	75	^{US-} 4,901,183	02-1990	Lee	
	76	^{US-} 5,694,280	12-1997	Zhou	

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages		
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	MM-DD-YYYY	,,	Or Relevant Figures Appear	T ₆	
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						<u> </u>	

Examiner	Date	
Signature	Considered	

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Substitute for form 1449/PTO			Complete if Known			
300	State to form 14437 10		Application Number	09/905,713		
11	FORMATION DISCL	OCUDE	Filing Date March 19, 2001			
			First Named Inventor	Frantz Germain		
STATEMENT BY APPLICANT		LICANT	Art Unit	2832		
	(Use as many sheets as necess	ary)	Examiner Name	Lincoln D. Donovan		
Sheet	5 of 5		Attorney Docket Number	0267-1904		

Examiner	Cite	Document Number	Publication Date	T DOCUMENTS Name of Patentee or	Pages, Columns, Lines, Where
Initials*	No.1		MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant
		Number-Kind Code ^{2 (J known)}			Figures Appear
	77	^{US-} 5,847,913	12-1998	Turner et al.	
-	78	^{US-} 4,967,308	10-1990	Morse, Milton	
	79	^{US-} 5,963,408	10-1999	Neiger et al.	
	80	^{US-} 4,002,951	01-1977	Halbeck	
	81	^{US-} 4,521,824	06-1985	Morris et al.	
	82	^{US-} 5,229,730	07-1993	Legatti et al.	
	83	^{US-} 5,477,412	12-1995	Neiger et al.	
	84	US-US-2003/0085783	05-2003	Macbeth, Bruce F.	
	85	^{US-} 5,628,394	05-1997	Benke et al.	
	86	^{US-} 6,590,172	07-2003	Gadre et al.	
	87	^{US-} 5,631,798	05-1997	Seymour et al.	
	88	^{US-} 4,587,588	05-1986	Goldstein	
	89	^{US-} 5,448,443	09-1995	Muelleman	
	90	^{US-} 3,731,154	05-1973	Torosian, Argist Saakovich	
	91	US- 4,719,437	01-1988	Yun, Byung H.	
	92	^{US-} 5,600,524	02-1997	Neiger et al.	
	1	US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages		
		Country Code ^{3 -} Number ^{4 -} Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	⊤ 6	
							
· -							

Examiner	Date	
Signature	Considered	

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